

**Internal Audit Status Report  
As of March 20, 2013**

FY2013 Annual Audit Plan

*Italic Items - have been completed or are in progress*

External Financial Audit Support:

	<i>Fraud and Ethics Incident</i>	
<i>Year-end cutoff</i>	<i>Management</i>	<i>Auxiliary fund analysis</i>
<i>Inventory observation</i>	<i>Effort Reporting (FY11)</i>	<i>Unexpended plant fund additions</i>
<i>Cash disbursements &amp; bank transfers</i>		<i>Search for Unrecorded Liabilities</i>
<i>Cash depositories</i>	<i>Information Systems Reviews:</i>	<i>Program changes</i>
	<i>Banner Access Controls**</i>	
	<i>OnBase Access Control Projects:</i>	
	<i>Data Integrity</i>	
<i>University of Alaska Anchorage</i>	<i>IT Governance</i>	
	<i>Outsourced IT Services (FY12)</i>	
<i>Departmental Cash Receipts</i>	<i>Banner Program Upgrade (FY12)</i>	
<i>Departmental Review** - Mat-Su</i>		
<i>College</i>	<i>Ongoing Audits:</i>	
	<i>Follow-up Auditing</i>	
	<i>Continuous Controls Auditing</i>	
<i>University of Alaska Fairbanks:</i>		
<i>Restricted Funds Compliance*</i>	<i>Special Requests*</i>	
<i>Departmental Cash Receipts</i>	<i>President's Residence Maintenance</i>	
<i>Departmental Review*</i>		
	<i>Investigations*</i>	
<i>University of Alaska Southeast</i>	<i>Confidential</i>	

Specific departments/areas to be determined later  
carried forward from FY12

1. FY2013 Audit Plan Progress and Department Staffing

- a. We continue to be fully staffed with four full-time auditors and a part time student intern.
- b. The following scheduled audits will not likely be completed in FY13:
  - i. UAF Departmental Review
  - ii. OnBase Access Controls

2. Audit Reports:

- a. UAS Human Resources – Final report issued February 28, 2013
- b. Statewide Restricted Funds (BTOP) – Final report issued by March 22, 2013
- c. Outsourced Information Technology Services (system wide audit)- Preliminary report issued by March 22, 2013
- d. Sponsored Programs Effort Reporting (system wide audit) – Final report to be issued in April
- e.
  - d.

c. In progress:

i.